

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. No: 10/088,037
 Applicant: Kenji Morimoto, et al.
 Filed: August 28, 2002
 Title: DATA RECORDING APPARATUS, DATA
 REPRODUCING APPARATUS, DATA RECORDING
 METHOD, AND DATA REPRODUCING METHOD
 2621
 TC/A.U.: 2621
 Examiner: Helen Shibru
 Docket No.: MTS-3321US

REQUEST FOR REFUND

(Improper Charge of Deposit Account)

Mail Stop 16
 Director of the U.S. Patent and Trademark Office
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

I. REFUND REQUEST

This is a request for refund with respect to the charge to Deposit Account No. 18-0350 shown on the statement for December 2007 for the above-identified application.

- ☒ Accompanying this request is a copy of the monthly statement on which the referred error is listed.

II. FEES CHARGED FOR WHICH REFUND IS REQUESTED

		Amount of Refund Requested	
<input type="checkbox"/>	Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$	0
<input type="checkbox"/>	Extension of time - months	\$	0
<input checked="" type="checkbox"/>	Excess claims	\$	1320.
<input type="checkbox"/>	Issue Fee	\$	0

<input type="checkbox"/>	Petition Fee	\$	0
<input type="checkbox"/>	Patent Maintenance Fee - year	\$	0
<input type="checkbox"/>	Patent Maintenance Fee Surcharge	\$	0
<input type="checkbox"/>	Other (please explain)	\$	0
	TOTAL REFUND REQUESTED	\$	1320.

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

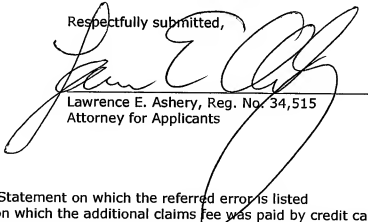
Additional claims amount of \$520. was paid using a credit card on December 11, 2007 as indicated on the Fee Transmittal, a copy of which is attached. On December 26, 2007 the USPTO incorrectly charged \$1320. to Deposit Account Number 18-0350 for the same transaction. Accordingly, a credit to Deposit Account Number 18-0350 in the amount of \$1320. is requested.

IV. MANNER OF REFUND

Please make refund by:

- ☒ crediting Deposit Account No. 18-0350.
☐ refunding payment.

Respectfully submitted,


 Lawrence E. Ashery, Reg. No. 34,515
 Attorney for Applicants

LEA/dmw

- ☒ Attachment:
 Copy of the Deposit Account Statement on which the referred error is listed
 Copy of the Fee Transmittal on which the additional claims fee was paid by credit card

Dated: August 13, 2008

P.O. Box 980
 Valley Forge, PA 19482
 (610) 407-0700



**United States
Patent and
Trademark Office**



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Deposit Account Statement

Requested Statement Month:	December 2007
Deposit Account Number:	180350
Name:	RATNER AND PRESTIA
Attention:	
Street Address 1:	P O BOX 980
Street Address 2:	
City:	VALLEY FORGE
State:	PA
Zip:	19482
Country:	UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
12/03	1	90008142	0002717-00264	1462	-\$400.00	\$35,748.13
12/03	2	90008142	0002717-00264	1463	\$200.00	\$35,548.13
12/03	11461	10740879	ITDE-PSSD127AU	8007	\$20.00	\$35,528.13
12/04	9112	60855106	FMCf-146TW & 147TW	8007	\$40.00	\$35,488.13
12/04	9113	60855066	FMCf-146TW & 147TW	8007	\$40.00	\$35,448.13
12/04	9488	11925235	FMCf-146TW & 147TW	8007	\$20.00	\$35,428.13
12/04	9489	11925375	FMCf-146TW & 147TW	8007	\$20.00	\$35,408.13
12/04	3	10363376	UD01-01(131*239)	2202	\$25.00	\$35,383.13
12/05	6545	60817509	ITDE-PACD149AU	8007	\$20.00	\$35,363.13
12/05	6546	11504430	ITDE-PACD149AU	8007	\$20.00	\$35,343.13
12/05	1	11059617	047177-9177-00	1252	\$460.00	\$34,883.13
12/06	8484	11596167		8021	\$40.00	\$34,843.13
12/07	1155	60873226	TAL-119TW	8007	\$60.00	\$34,783.13
12/07	17	P114386	TAL119	1463	\$200.00	\$34,583.13
12/17	12690	60983405	UOD233USP	8021	\$40.00	\$34,543.13
12/18	3	10800812	TJA-100US	1801	\$810.00	\$33,733.13
12/19	5	P114474		1463	\$200.00	\$33,533.13
12/19	11479	11711228	PMR-109MX	8007	\$20.00	\$33,513.13
12/19	11497	11601346	MICR-225TW	8007	\$20.00	\$33,493.13
12/19	11510	11633322	SCO-114TW	8007	\$20.00	\$33,473.13
12/19	58	10555232	MAT-8760US	1814	\$130.00	\$33,343.13
12/19	59	10555232	MAT-8760US	1814	\$130.00	\$33,213.13
12/19	60	10555232	MAT-8760US	1814	\$130.00	\$33,083.13
12/21	12496	6822742	ITDE-PSS0127AU	8013	\$25.00	\$33,058.13
12/21	12737	0153770047		8014	\$25.00	\$33,033.13
12/21	1	10505387	PC10373US	1252	\$340.00	\$32,693.13

12/21	22	P114386		1463	\$200.00	\$32,493.13
12/21	15023	11644722	ITDE-PNV135TW	8007	\$20.00	\$32,473.13
12/26	1	10088037	MTS-3321US	1201	\$420.00	\$32,053.13
12/26	2	10088037	MTS-3321US	1202	\$900.00	\$31,153.13
12/26	16579	11510443	ITDE-PACD191AU	8007	\$20.00	\$31,133.13
12/31	31	11014129	ZDZ-100US	2501	\$20.00	\$31,113.13

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$35,348.13	\$4,635.00	\$400.00	\$31,113.13

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Effective on 12/08/04.
 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL **For FY 2008**

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 520

Complete If Known

Application Number 10088,037
 Filing Date August 28, 2002
 First Named Inventor Kenji Morimoto et al.
 Examiner Name Helen Shibu
 Art Unit 2621
 Attorney Docket No. MTS-3321US

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number: 18-0350 Deposit Account Name: RatnerPrestia
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity		Small Entity		Small Entity		
Utility	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Small Entity	
	Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185
Total Claims	Extra Claims	Fee Paid (\$)
50	2	100
HP = highest number of total claims paid for, if greater than 20	Fee (\$)	Fee Paid (\$)
50	210	420
Indep. Claims	Extra Claims	Fee Paid (\$)
8	2	210
HP = highest number of independent claims paid for, if greater than 3		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$200 (\$130 for small entity) for each 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

100 = 50 / 50 = (round up to a whole number) x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)
 Other (e.g., late filing surcharge):

Fees Paid (\$)

SUBMITTED BY

Signature _____ Complete (if applicable)
 Name (Print/Type) Lawrence E. Ashery Registration No. Attorney/Agent 34,515 Telephone 610-407-0700
 Date December 11, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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